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EXAMINER

DONG, DALEI

ART UNIT	PAPER NUMBER
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2879

DATE MAILED: 04/28/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/047,469

Applicant(s)

EDEN ET AL.

Examiner

Dalei Dong

Art Unit

2879

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 02 March 2005.  
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.  
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-55, 75 and 76 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.  
6) ☒ Claim(s) 1-55, 75 and 76 is/are rejected.  
7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.  
8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.  
10) ☒ The drawing(s) filed on 23 September 2002 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)  
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)  
3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.  
4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.  
5) ☐ Notice of Informal Patent Application (PTO-152)  
6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Drawings*

1. In the Remarks, the Applicant states formal replacement of Figures 2A and 2B is supplied, however the Examiner did not received the stated replacement figures. The drawings are objected to because in Figures 2A and 2B, the reference number are directed to the wrong components of the microdischarge device. Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

***Claim Objections***

2. Claims 26 and 27 are objected to because of the following informalities:

Claim 26 should be dependent upon claim 25 and not claim 15;

Claim 27 should be dependent upon claim 25 and not claim 15;

Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-6, 8, 10, 11, 13, 15, 16, 18, 20-32, 34, 36, 37, 39, 41-43, 44, 45, 47-55, 75 and 76 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,016,027 to DeTemple in view of U.S. Patent No. 5,691,608 to Yamamoto.

Regarding to claim 1, DeTemple discloses in Figure 1A, a microdischarge device (10), comprising: a first layer (14); an intermediate layer (18) on the first layer (14); and a second layer (20) on the intermediate layer (18), the intermediate layer (18) electrically insulating (dielectric layer 18) the first layer (14) from the second layer (20), the first (14 semiconductor layer) and second (20 anode) having a conductivity larger than that of the intermediate (18 dielectric layer) layer.

However, DeTemple does not disclose the first layer having a tapered cavity disposed therein.

The Yamamoto reference teaches in Figures 3A and 3B, a first layer (30) having a tapered cavity (recesses 34A, 34B, 34C) disposed therein for the purpose of improve the efficiency of the emitting electrons exiting the discharge device as well as improves the luminescent and brightness of the device.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have constructed the cavity of DeTemple with the tapered shape of Yamamoto in order to improve the efficiency of the emitting electrons exiting the device as well as improves the luminescent and brightness of the device.

Regarding to claim 2, Yamamoto discloses in Figures 3A and 3B, the cavity has an inverted square (top view shown in Figure 3A) pyramidal (sectional view shown in Figure 3B) shape and the motivation to combine is the same as above.

Regarding to claim 3, DeTemple discloses, the first layer (14) is a semiconductor (see column 3, lines 66).

Regarding to claim 4, DeTemple discloses, the first layer (14) comprises Si (see column 3, line 67).

Regarding to claim 5, DeTemple discloses in Figure 1A, the first layer (14), the intermediate layer (18) and the second layer (20) form a diode, and the intermediate layer is a depletion region of the diode (see column 4, lines 7-21).

Regarding to claim 6, DeTemple discloses in Figure 1A, the intermediate layer (20) comprises at least one dielectric layer (see column 4, lines 9-10).

Regarding to claim 8, DeTemple discloses in Figure 1A, an area of the cavity at a surface of the first layer (14) is not greater than 10,000 micron squared (see column 4, lines 30-36).

Regarding to claim 10, DeTemple discloses, the first layer (14) comprises Si (see column 3, line 67).

Regarding to claim 11, DeTemple in view of Yamamoto discloses the claimed apparatus of microdischarge device and the lifetime of the device is at least 10 hours is merely an intrinsic property of the device itself and it does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations (see MPEP 2112).

Regarding to claim 13, DeTemple discloses in Figure 1A, an area of the cavity at a surface of the first layer (14) is not greater than 10,000 micron squared (see column 4, lines 30-36).

Regarding to claim 15, DeTemple discloses, the first layer (14) comprises Si (see column 3, line 67).

Regarding to claim 16, DeTemple in view of Yamamoto discloses the claimed apparatus of microdischarge device and the lifetime of the device is at least 10 hours is merely an intrinsic property of the device itself and it does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations (see MPEP 2112).

Regarding to claim 18, DeTemple discloses in Figure 1A, the cavity (16) extends through at least a surface of the second layer (20).

Regarding to claim 20, DeTemple discloses a gas disposed in the cavity (see column 4, lines 7-9).

Regarding to claim 21, DeTemple discloses in Figures 1A and 1B, the second layer (20) comprises an electrically conducting screen disposed on an end of the cavity.

Regarding to claim 22, the Examiner asserts that the claimed recitation “the screen serves as a cathode” merely depends on the polarity of the voltage applied and the type of the material used for the intended purpose, further it has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations.

Regarding to claim 23, DeTemple discloses in Figure 7, an optically transmissive material (38) that seals the cavity (see column 9, lines 64-67).

Regarding to claim 24, DeTemple discloses the first layer serves as a cathode of the microdischarge device (see column 3, lines 65-66).

Regarding to claim 25, DeTemple discloses in Figures 5-7, an array comprising a plurality of microdischarge device (see column 9, lines 40-50).

Regarding to claim 26, DeTemple discloses in Figures 5-7, the array is divided into independently excited sub-arrays (see column 9, lines 64-65).

Regarding to claim 27, DeTemple discloses in Figures 5-7, a light array comprising the array of microdischarge device.



Regarding to claim 28, the Examiner asserts that utilizing a microdischarge device for a laser is merely an intended use of the microdischarge device and it has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations.

Regarding to claim 29, DeTemple discloses in Figure 1A, a microdischarge device (10), comprising: a first layer (14); an intermediate layer (18) on the first layer (14); and a second layer (20) on the intermediate layer (18), the intermediate layer (18) electrically insulating (dielectric layer 18) the first layer (14) from the second layer (20).

However, DeTemple does not disclose the first layer having a tapered cavity disposed therein.

The Yamamoto reference teaches in Figures 3A and 3B, a first layer (30) having a tapered cavity (recesses 34A, 34B, 34C) disposed therein for the purpose of improve the efficiency of the emitting electrons exiting the device as well as improves the luminescent and brightness of the device.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have constructed the cavity of DeTemple with the tapered shape of Yamamoto in order to improve the efficiency of the emitting electrons exiting the device as well as improves the luminescent and brightness of the device.

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Regarding to claim 30, DeTemple discloses, the first layer (14) comprises Si (see column 3, line 67).

Regarding to claim 31, DeTemple discloses in Figure 1A, the first layer (14), the intermediate layer (18) and the second layer (20) form a diode, and the intermediate layer is a depletion region of the diode (see column 4, lines 7-21).

Regarding to claim 32, DeTemple discloses in Figure 1A, the second layer (20) is a metal (see column 4, lines 11-13).

Regarding to claim 34, DeTemple discloses in Figure 1A, an area of the cavity at a surface of the first layer (14) is not greater than 10,000 micron squared (see column 4, lines 30-36).

Regarding to claim 36, DeTemple discloses, the first layer (14) comprises Si (see column 3, line 67).

Regarding to claim 37, DeTemple in view of Yamamoto discloses the claimed apparatus of microdischarge device and the lifetime of the device is at least 10 hours is merely an intrinsic property of the device itself and it does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations (see MPEP 2112).

Regarding to claim 39, DeTemple discloses in Figure 1A, an area of the cavity at a surface of the first layer (14) is not greater than 10,000 micron squared (see column 4, lines 30-36).

Regarding to claim 41, DeTemple discloses, the first layer (14) comprises Si (see column 3, line 67).

Regarding to claim 42, DeTemple in view of Yamamoto discloses the claimed apparatus of microdischarge device and the lifetime of the device is at least 10 hours is merely an intrinsic property of the device itself and it does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations (see MPEP 2112).

Regarding to claim 43, DeTemple discloses the intermediate layer (18) comprises at least one dielectric layer having a lower electrical conductivity than the semiconductor (14) and second layer (20).

Regarding to claim 45, DeTemple discloses in Figure 1A, the cavity (16) extends through at least a surface of the second layer (20).

Regarding to claim 47, DeTemple discloses a gas disposed in the cavity (see column 4, lines 7-9).

Regarding to claim 48, DeTemple discloses in Figures 1A and 1B, the second layer (20) comprises an electrically conducting screen disposed on an end of the cavity.

Regarding to claim 49, the Examiner asserts that the claimed recitation “the screen serves as a cathode” merely depends on the polarity of the voltage applied and the type of the material used for the intended purpose, further it has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations.

Regarding to claim 50, DeTemple discloses in Figure 7, an optically transmissive material (38) that seals the cavity (see column 9, lines 64-67).

Regarding to claim 51, DeTemple discloses the first layer serves as a cathode of the microdischarge device (see column 3, lines 65-66).

Regarding to claim 52, DeTemple discloses in Figures 5-7, an array comprising a plurality of microdischarge device (see column 9, lines 40-50).

Regarding to claim 53, DeTemple discloses in Figures 5-7, the array is divided into independently excited sub-arrays (see column 9, lines 64-65).

Regarding to claim 54, DeTemple discloses in Figures 5-7, a light array comprising the array of microdischarge device.

Regarding to claim 55, utilizing a microdischarge device for a laser in merely an intended use of the microdischarge device and it has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations.

Regarding to claim 75, Yamamoto teaches in Figures 3A-B, the cavity has trapezoidal cross-section and the motivation to combine is the same as above.

Regarding to claim 76, Yamamoto teaches in Figures 3A-B, the cavity has trapezoidal cross-section and the motivation to combine is the same as above.

5. Claims 7, 9, 12, 14, 19, 33, 35, 38, 40 and 46 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,016,027 to DeTemple in view of U.S. Patent No. 5,691,608 to Yamamoto and in further view of U.S. Patent No. 6,147,349 to Ray.

Regarding to claim 7, DeTemple in view of Yamamoto discloses a microdischarge device (10), comprising: a first layer (14) having a tapered cavity disposed therein; an intermediate layer (18) on the first layer (14); and a second layer

(20) on the intermediate layer (18), the intermediate layer (18) electrically insulating (dielectric layer 18) the first layer (14) from the second layer (20), the first (14 semiconductor layer) and second (20 anode) having a conductivity larger than that of the intermediate (18 dielectric layer) layer.

However, DeTemple and Yamamoto does not disclose the angle of taper of the cavity is at least 20 degrees and at most 60 degrees.

The Ray reference teaches in Figure 3, a taper cavity (28) formed in the first layer (12) and the angle of taper of the cavity is at least 20 degrees and at most 60 degrees (see column 4, lines 31-46) for the purpose of improve the efficiency of the emitting electrons exiting the device as well as improves the luminescent and brightness of the device.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have constructed the cavity of DeTemple with the tapered shape of Yamamoto and further construct the taper with angle of Ray in order to improve the efficiency of the emitting electrons exiting the device as well as improves the luminescent and brightness of the device.

Regarding to claim 9, Ray teaches the depth of the tapered cavity (28) in the first layer (12) is not greater than 100 microns (see column 4, lines 39-42) and the motivation to combine is the same as above.

Regarding to claim 12, Ray teaches the angle of taper of the cavity is at least 20 degrees and at most 60 degrees (see column 4, lines 31-46) and the motivation to combine is the same as above.

Regarding to claim 14, Ray teaches the depth of the tapered cavity (28) in the first layer (12) is not greater than 100 microns (see column 4, lines 39-42) and the motivation to combine is the same as above.

Regarding to claim 19, Ray teaches in Figure 3, the side walls of the cavity are coated with a film (30) that reflects light (see column 5, lines 1-13) and the motivation to combine is the same as above.

Regarding to claim 33, Ray teaches in Figure 3, a taper cavity (28) formed in the first layer (12) and the angle of taper of the cavity is at least 20 degrees and at most 60 degrees (see column 4, lines 31-46) and the motivation to combine is the same as above.

Regarding to claim 35, Ray teaches the depth of the tapered cavity (28) in the first layer (12) is not greater than 100 microns (see column 4, lines 39-42) and the motivation to combine is the same as above.

Regarding to claim 38, Ray teaches in Figure 3, a taper cavity (28) formed in the first layer (12) and the angle of taper of the cavity is at least 20 degrees and at most 60 degrees (see column 4, lines 31-46) and the motivation to combine is the same as above.

Regarding to claim 40, Ray teaches the depth of the tapered cavity (28) in the first layer (12) is not greater than 100 microns (see column 4, lines 39-42) and the motivation to combine is the same as above.

Regarding to claim 46, Ray teaches in Figure 3, the side walls of the cavity are coated with a film (30) that reflects light (see column 5, lines 1-13) and the motivation to combine is the same as above.

#### *Allowable Subject Matter*

6. Claims 17 and 44 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter:

Regarding to claim 17, prior art of record taken alone or in combination fails to teach or suggest the intermediate layer comprises a plurality of dielectric layer, at least two of the plurality of dielectric layer having different dielectric constants.



Regarding to claim 44, prior art of record taken alone or in combination fails to teach or suggest the intermediate layer comprises a plurality of dielectric layer, at least two of the plurality of dielectric layer having different dielectric constants.

### ***Response to Arguments***

7. Applicant's arguments filed March 2, 2005 have been fully considered but they are not persuasive.

In response to applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, the DeTemple reference and the Yamamoto reference both teaches emitting of electrons from a semiconductive material in order to excite a secondary material rather be mercury vapor or a phosphor in order to obtain desired image. Albeit, no light is generated in the recess of the Yamamoto reference, however, the electron are emitted from the recess and accelerated by the electrode and hitting the phosphor to generate desired image. In sum, the DeTemple reference discloses electrons emitted in a cavity to excite a second material to generate desired image as analogous to the teachings of the Yamamoto reference wherein

electrons are generated and accelerated in a cavity excite a second material to generate desired image. Thus, the Examiner asserts that it would have been obvious to one having ordinary skill in the art at the time the invention was made to have constructed the cavity of DeTemple with the tapered shape of Yamamoto in order to improve the efficiency of the emitting electrons exiting the device as well as improves the luminescent and brightness of the device.

Also, in response to applicant's argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971).

Further, in response to Applicant's argument that the combination of the DeTemple reference and the Yamamoto reference would render the device inoperable. The Examiner asserts that the modification to the DeTemple reference would only consisting of changing the shape of the cavity in a tapered shape as taught by the Yamamoto reference and the basic operating principle of the DeTemple reference would remain the same. Thus, the Examiner asserts that the combination of the DeTemple reference and the Yamamoto reference would not have rendered the device inoperable and therefore, maintains the rejection.

*Conclusion*

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

The following prior art are cited to further show the state of the art of composition of a microdischarge device.

U.S. Patent No. 4,698,546 to Maitland.

U.S. Patent No. 5,969,378 to Singh.

U.S. Patent No. 5,990,620 to Lepselter.

U.S. Patent No. 6,333,598 to Hsu.

U.S. Patent No. 6,695,664 to Eden.

U.S. Patent No. 6,815,891 to Eden.

U.S. Patent No. 6,828,730 to Eden.

U.S. Patent No. 6,867,548 to Eden.

9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the

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advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dalei Dong whose telephone number is (571)272-2370. The examiner can normally be reached on 8 A.M. to 5 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nimeshkumar Patel can be reached on (571)272-2457. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



D.D.  
April 21, 2005



Joseph Williams  
Primary Examiner  
Art Unit 2879